

# TRANSMITTAL LETTER

*IFW*

In re Application of:  
MESTACH, D. et al.



Docket: ANR 2950 US

Serial No.: 10/619,991  
Filing Date: July 15, 2003

Examiner: Tatyana Zalukaeva

Group Art Unit: 1713

Title: METHOD FOR POLYMERIZING  
ETHYLENICALLY UNSATURATED  
MONOMERS BY DEGENERATIVE  
IODINE TRANSFER

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First-Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on January 14 2005  
Dawn M. Anthony  
Dawn M. Anthony

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

☒ Transmitted herewith find the document(s) related to this application:

1. TRANSMITTAL LETTER IN DUPLICATE;
2. RESPONSE AND AMENDMENT;
3. COPY OF ORIGINALLY SUBMITTED SPECIFICATION CLAIMS & ASSOCIATED FILING DOCUMENTS;
4. CERTIFICATE OF MAILING; and
5. POSTCARD

☒ Applicant hereby petitions for an extension of time under 37 CFR 1.136 of:

- ☐ One Month (\$ 120.00) ☐ Two Months (\$ 450.00)  
☒ Three Months (\$1020.00) ☐ Four Months (\$1590.00)

☐ Additional claims are presented. The fee therefore is:

Claims Pending	Previously Paid For	Extra Claims	Rate	Fee
Total: 35	- 35	=	X \$ 50 =	\$
Indep: 2	- 3	=	X \$200 =	\$
First Presentation of Multiple Dependent Claims			+ \$ 360 =	\$
			Total	\$

The total fee believed due is **\$1020.00**. Please charge this amount and any other fees which may be due (including filing fees under 37 CFR 1.16 and processing fees under 37 CFR 1.17) to Deposit Account No. 01-1350. If an extension of time is required but has not been requested above, Applicant hereby petitions for an extension of time sufficient for the attached document(s) to be timely. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Michelle J. Burke*  
Michelle J. Burke  
Attorney for Applicant(s)  
Reg. No. 37,791

Akzo Nobel Inc.  
Intellectual Property Department  
7 Livingstone Avenue  
Dobbs Ferry, NY 10522-3408  
Tel No.: (914) 674-5459

ANR2950US MJB/dma

Receipt of the following is hereby acknowledged by the  
Asst. Commissioner for Patents:

8. Transmittal Letter
9. Fee Transmittal (in duplicate)
10. Specification (17 pages)
11. Claims (5pages)
12. Abstract (1page)
13. Declaration & Power of Attorney (unsigned)
14. Return Postcard

In re appln of: D.E.P. MESTACH et al.

Priority Appln No.: EP02078004.5

Priority Appln. Filing Date: July 23, 2002

Title: METHOD FOR POLYMERIZING ETHYLENICALLY  
UNSATURATED MONOMERS BY DEGENERATIVE  
IODINE TRANSFER

Express Mail Label No.: EV135679820US

Mailing Date: July 14, 2003

EV135679820US



ANR2950US MJB/dma

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3. Specification (17 pages)
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Priority Appln No.: EP02078004.5

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Title: METHOD FOR POLYMERIZING ETHYLENICALLY  
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IODINE TRANSFER

Express Mail Label No.: EV135679820US

Mailing Date: July 14, 2003



EV 135679820 US

Mailing Label  
Label 11-F October 2001



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		<input type="checkbox"/> Next <input type="checkbox"/> Second		Mo. Day		<input type="checkbox"/> AM <input type="checkbox"/> PM	
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		Military		Mo. Day		<input type="checkbox"/> AM <input type="checkbox"/> PM	
		<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day		Delivery Date		Time	
		Int'l Alpha Country Code		Mo. Day		<input type="checkbox"/> AM <input type="checkbox"/> PM	
Weight		Acceptance Clerk Initials		Delivery Date		Time	
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CUSTOMER USE ONLY				Employee Signature			
METHOD OF PAYMENT:				Employee Signature			
Express Mail Corporate Acct. No.				Employee Signature			
X105736				Employee Signature			

FROM: (PLEASE PRINT)		PHONE	
AKZO NOBEL INC			
7 LIVINGSTONE AVE			
DOBBS FERRY			
NY 10522-3401			
ANR2950US			

TO: (PLEASE PRINT)		PHONE	
COMMISSIONER FOR PATENTS			
MAIL STOP: PATENT APPLICATION			
P.O. BOX 1450			
ALEXANDRIA, VA 22313-1450			

03807 U.S. PTO  
10/619991



07/15/03

01P - 30155  
JAN 18 2005

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. ANR2950US  
First Inventor D.E.P. MESTACH et al.  
Title METHOD FOR POLYMERIZING ETHYLENICALLY UNSATURATED  
Express Mail Label No. EV135679820US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
5. Oath or Declaration (unsigned) [Total Pages 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: /

Prior application information:

Examiner: .....

Group Art Unit: .....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label Here)

or ☒ Correspondence address below

Name Michelle J. Burke  
Address Akzo Nobel Inc.-Intellectual Property Dept.  
7 Livingstone Avenue  
City Dobbs Ferry State NY Zip Code 10522  
Country U.S. Telephone 914 674-5459 Fax 914 693-4236

Name (Print/Type) Michelle J. Burke Registration No. (Attorney/Agent) 37,791  
Signature [Signature] Date 07/14/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1020.00

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	D.E.P. MESTACH et al.
Examiner Name	
Art Unit	
Attorney Docket No.	ANR2951US

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
01-1350Deposit Account Name  
Akzo Nobel Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ ) 750.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	35	-20** = 15	18 x 15 = 270
Independent Claims	2	-3** = 0	84 x 0 = 0
Multiple Dependent			0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ ) 270.00				

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Michelle J. Burke	Registration No. (Attorney/Agent)	37,791	Telephone	914 674-5459
Signature		Date	07/14/2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.